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PATENT Atty. Dkt. No. ABI1150-18 (071243-0218)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Soon-Shiong and Desai

Title:

METHODS AND

FORMULATIONS OF TAXANES

Appl. No.:

09/628,387

Filing Date:

08/01/2000

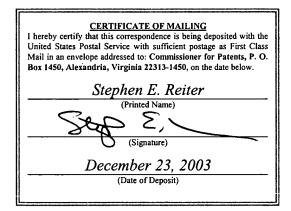
Examiner:

A. Pulliam

Art Unit:

1615

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313 1450



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IDS TRANSMITTAL

Sir:

Transmitted herewith for the above-identified application please find:

- (1) Information Disclosure Statement.
- (2) Completed Form PTO/SB/08.
- (3) Copies of the cited references.
- (4) A check in the amount of \$ 180.00.
- (5) Postcard.

In re Application of:

Soon-Shiong and Desai

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Page 2

PATENT

Atty. Dkt. No.: ABI1150-18

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A check in the amount of \$180.00 is enclosed. The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 50-0872. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-

dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized

to charge the unpaid amount to Deposit Account No. 50-0872.

Respectfully submitted,

Date: December 23, 2003

FOLEY & LARDNER Customer Number: 30542

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Attorney for Applicant Registration No. 31,192



THE UNITED STATES PATENT AND TRADEMARK OFFICE

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CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents, PO Box 1450, Alexandria, Virginia 22313-1450, on the date below. Stephen E. Reiter (Printed Name) December 23, 2003 (Date of Deposit)

RECEIVED JAN 0 7 2004 TECH CENTER 1600/2900 INFORMATION DISCLOSURE STATEMENT **UNDER 37 CFR §1.56**

Commissioner for Patents PO Box 1450 Alexandria, Virginia 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56. A copy of each listed document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a prima facie art reference against the claims of the present application.

01/06/2004 HLE333 00000050 09628387

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TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(c), before the mailing date of either a final action under 37 CFR §1.113, a notice of allowance under 37 CFR §1.113, or an action that otherwise closes prosecution in the application.

RELEVANCE OF EACH DOCUMENT

All of the documents are in English.

Applicants respectfully request that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

FEE

A fee in connection with submission of a supplemental information disclosure statement under 37 CFR §1.97(c) in the amount of \$180.00 in accordance with 37 CFR §1.17(p) is attached.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 50-0872. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 50-0872.

Respectfully submitted,

Stephen E. Reiter

Attorney for Applicant

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Date: December 23, 2003

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	INFORMATIO	N DISCLO	\$ URE	Application Number	09/628,387	
	STATEMENT BY APPLICANTDEC 2 9 2003			⁺filing Date	08-01-2000	
			2	First Named Inventor	Soon-Shiong	
			The sale	Group Art Unit	1615	
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Sheet	1	of	1	Attorney Docket Number	ABI1150-18 (071243-0218)	

	U.S. PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	U.S. Patent Document Kind		Name of Patentee or Applicant of	Date of Publication of Cited Document	Pages, Columns, Lines, Where Relevant		
		Number	Code ² (if known)	Cited Document	MM-DD-YYYY	Passages or Relevant Figures Appear		
	A1	4,417,767		Yapel	04-03-1979			
	A2	5,079,018		Ecanow	01-07-1992			
	A3	5,498,421		Grinstaff et al.	03-12-1996			
	A4	5,733,925		Kunz et al.	03-31-1998			
	A5	5,795,909		Shashoua et al.	08-18-1998			

FOREIGN PATENT DOCUMENTS								
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NON PATENT LITERATURE DOCUMENTS					
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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.